



Sheet 1 of 1

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. NIT-156-05		SERIAL NO. 10/787,086		
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT T. KATO et al.				
				FILING DATE February 27, 2004		GROUP 2874		
U.S. PATENT DOCUMENTS								
* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)	
	AA							
	AB							
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	AH							
	AI							
	AJ							
	AK							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>ENG</i>	AL	04-212110	08/03/1992	JAPAN (ABSTRACT)			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	AM						<input type="checkbox"/>	<input type="checkbox"/>
	AN						<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)								
	AR							
	AS							
	AT							
EXAMINER <i>Sarah Nelson</i>				DATE CONSIDERED 31 AUG 06				
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2/27/04

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**U.S. PATENT DOCUMENTS**

* EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE <i>(If Appropriate)</i>
SRP	AA	5,737,467	04/1998	Kato et al		
SRP	AB	5,684,903	11/1997	Kyomasu et al		
SRP	AC	5,013,495	05/1991	Noba et al		
SRP	AD	4,756,592	07/1988	Sasayama et al		
SRP	AE	4,410,469	10/1983	Katagiri et al		
SRP	AF	4,466,009	08/1984	Konishi et al		
SRP	AG	5,727,105	03/1998	Nagata et al		
SRP	AH	6,170,996	01/2001	Miura et al		
SRP	AI	6,282,350	08/2001	Takahashi et al		
SRP	AJ	6,282,352	08/2001	Kato et al		
SRP	AK	6,220,764	04/2001	Kato et al		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
SRP	AL	8-15576	01/19/96	Japan			<input type="checkbox"/>	<input type="checkbox"/>
SRP	AM	8-248274	09/27/96	Japan		<input type="checkbox"/>	<input type="checkbox"/>	
SRP	AN	2-271308	11/06/90	Japan		<input type="checkbox"/>	<input type="checkbox"/>	
SRP	AO	7-35954	02/07/95	Japan		<input type="checkbox"/>	<input type="checkbox"/>	
SRP	AP	3-17605	01/25/91	Japan		<input type="checkbox"/>	<input type="checkbox"/>	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

SRP	AR	Robertson, Michael J., et al, "The Expanded Mode Laser-A Route to Low Cost Optoelectronics," IEICE Trans. Electron, Vol. E80-C, No. 1, January 1997, pp. 17-23.
SRP	AS	Collins, J.V., et al, "Laser Diode Chip and Packaging Technology,"
		Proceedings, SPIE, Vol. 2610, pp. 108-116. Jan 1996
SRP	AT	Hall, I.P., "Novel Techniques for Low-Cost, High Performance Optoelectronic
		Component Assembly," 9th European Hybrid Microelectronics Conference, Nice, France, June 1993, pp. 44-47.

EXAMINER <i>Sanh y. long</i>	DATE CONSIDERED 31 MAY 04
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10-787086LIST OF DOCUMENTS CITED BY APPLICANT  
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T. KATO et alFILING DATE  
February 27, 2004GROUP  
2874

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
8/4	AA 6,457,877	10-2002	Kato et al			
8/4	AB 6,632,027	10-2003	Yoshida et al			
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

## FOREIGN PATENT DOCUMENTS

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8/4	AL 2-271308	11/06/90	Japan			<input type="checkbox"/>	<input checked="" type="checkbox"/>
8/4	AM 1-304405	12/08/89	Japan			<input type="checkbox"/>	<input type="checkbox"/>
8/4	AN 7-321407	12/08/95	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AO					<input type="checkbox"/>	<input type="checkbox"/>
	AP					<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

8/4	AR	Hall, I.P., "Non-hermetic Encapsulation and Assembly Techniques for Optoelectronic Applications," 10th European Microelectronics Conference, May 14-17, 1995, Copenhagen, Denmark.
8/4	AS	Proceedings of the Conference of the Institute of Electronics, Information and Communication Engineers, 1996, Vol. 1, SC-3-14, pp. 478-479.
8/4	AT	Proceedings of the Conference of the Institute of Electronics, Information and Communication Engineers, 1996, Vol. 1, C-216, page 216.

EXAMINER

Luis V. Long

DATE CONSIDERED

31 MAY 04

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
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## U.S. PATENT DOCUMENTS

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	AN					<input type="checkbox"/>	<input type="checkbox"/>
	AO					<input type="checkbox"/>	<input type="checkbox"/>
	AP					<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

ms	AR	Proceedings of the Conference of the Institute of Electronics, Information and Communication Engineers, 1996, Vol. 1, C-207, page 207.
	AS	
	AT	

EXAMINER

Lachy Long

DATE CONSIDERED

21 MAY 04

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